Borko, H., Liston, D., Whitcomb, J. (2007). Genres of empirical research in teacher education. *Journal of Teacher Education*, 58

Editorial 58 (1)--1

Genres of Empirical Research in Teacher Education

Hilda Borko, Dan Liston, & Jennifer A. Whitcomb<sup>1</sup>

University of Colorado at Boulder

*Is empirical research on teacher education really so bad?* Critics decry its inconsistent quality and inability to respond convincingly to some of the field's most vexing problems. At the same time, teacher education is a relatively new field of study. Those who have traced its development observe that rigorous, large-scale research on teacher education is difficult, time-consuming, and expensive to conduct; thus, some of the theoretical and methodological advances seen in more mature fields, e.g., research on student learning, are just beginning to emerge in research on teacher education. When we reviewed empirical research and reviews of research in teacher education (Borko, Whitcomb, & Byrnes, in press), we noted an excitement associated with working on the frontier of establishing a field of study, a willingness to critique the methodological rigor of our work, and a desire for our scholarship to have a constructive impact on teacher education policy and practice. As editors of this journal, one of the most important contributions we can make is to help push the field forward – to improve the quality and impact of empirical teacher education research.<sup>2</sup>

In keeping with that goal, we organized our *JTE*-sponsored session at the 2006 AACTE annual meeting to focus on *Enhancing the Scholarship of Teacher Educators' Practice*. This issue of the journal continues the conversation begun at that session, as we feature articles by

<sup>&</sup>lt;sup>1</sup> As an editorial team, we write editorials collaboratively. To reflect the nature of this joint work, we rotate order of authors with each journal issue.

<sup>&</sup>lt;sup>2</sup>Portions of this editorial are based on a chapter about genres of research in teacher education (Borko, Whitcomb, and Byrnes, in press) to appear in the

session presenters, Jean Clandinin, John Loughran, and Ken Zeichner. In an effort to broaden and stimulate the conversation, we offer our assessment of four genres that have been central in empirical teacher education research, namely (1) effects of teacher education, (2) interpretive, (3) practitioner, and (4) design. The first two – effects and interpretive – are established genres that have contributed substantially and over many years to the knowledge base on teacher education. The latter two – practitioner and design – are mo

A complete science of psychology would tell every fact about every one's intellect and character and behavior, would tell the cause of every change in human nature, would tell the result which every educational force – every act of every person that changed any other or the agent himself – would have" (Thorndike, 1910, p. 6).

The legacy of this cause-and-effect orientation is evident in the process-product studies that dominated inquiry in teaching and teacher education in the late 1960s and 1970s. These studies were grounded in the logic of the descriptive-correlational-experimental loop. They sought to describe phenomena of teaching practice, isolate variables correlated with student achievement scores, create interventions to train teachers to engage in identified teaching behaviors, and conduct experiments to study the effect of these interventions (Brophy & Good, 1986). Research on the effects of teacher education broadened over time to include studies examining the impact of components of teacher education such as subject matter preparation, foundations and methods courses, and field experiences.

Recently, calls to identify "what works" in teacher education have spawned renewed interest in this genre. The quasi-experimental and experimental designs frequently employed in effects of teacher education research appeal because they reflect the U.S. federal government's recent "*de facto* definition of good research as consisting of experimental studies that yield prescriptions for action" (Hostetler, 2005, p.16). Educational decision makers find research in this genre useful and attractive because of the relevance and validity of its findings as a basis for designing and evaluating teacher education programs. The compatibility of the language and assumptions of policy with the language and assumptions of effects of teacher education research also helps explain the intimate relationship between the two. As Marilyn Cochran-Smith (2004) explains, when teacher education is constructed as a policy problem the evidence desired to

address this problem comes from "experimental or correlational studies with sophisticated statistical analyses, which indicate that certain aspects of teacher preparation do or do not have a systematic and positive impact on pupils' learning or on other outcomes" (p. 112). As a cautionary note, however, Susan Florio-Ruane (2002) reminds us that the quest for generalizability within the effects of teacher education tradition is often thwarted by the contextual, local, situated nature of teaching and learning. Further, the knowledge generated through this research may be too abstract and general for direct application to specific local situations, programs, and individuals.

## Interpretive Research

Interpretive research is, at its core, a search for local meanings. Unlike effects of teacher education research, it aims for particularizability, not generalizability (Erickson, 1986). It seeks to describe, analyze, and interpret features of a specific situation, preserving its complexity and communicating the perspectives of participants. Interpretive researchers attempt to capture local variation through fine-grained descriptions of settings and actions, and through interpretation of how actors make sense of their socio-cultural contexts and activities. The implications of an interpretive study may address any or all of the following: improving practice, including program design; informing policy by outlining salient contextual features that shape policy formulation or by illustrating successes and flaws in policy enactment; and shaping theory development. Responsibility falls to readers to determine what explanatory power a study has within their local context.

Interpretive studies of teaching and teacher education came to the fore as the shortcomings of process-product research became more apparent (Shulman, 1986). In the 1980s, interpretive studies gave the field an image of teaching as a complex intellectual endeavor that

unfolds in an equally complex socio-cultural context. As the diversity of the student population increased, attention turned to how teachers made sense of both the socio-cultural organization of the classroom and the learning and development of students whose lived worlds and experiences were different from their own. One logical extension of this deepened understanding of teaching practice was inquiry into how beginning teachers learn to teach and how different contexts and teacher educators' practice shape teacher candidates' learning. This redefined purpose for inquiry

education experiences. Interpretive studies have contributed to our understanding of what occurs within methods courses and field experiences, the practices of teacher educators, and features of high quality teacher preparation programs. Taken together, studies within this genre have given teacher educators a more nuanced understanding of teacher candidates as learners, and a complex portrait of the impact of teacher education programs and teacher educators' practices on candidates' learning to teach.

A central limitation of research in the interpretive genre is the lack of shared conceptual frameworks and designs, which makes it a challenging task to aggregate findings and to draw comparisons across studies, even when those studies are of similar phenomena. Another limitation is that the body of interpretive research that has accrued has focused primarily on the perspectives of teacher candidates, teacher educators, and school-based personnel involved in teacher preparation. Broadening our empirical eye to include other stakeholders – e.g., university administrators, legislators and school board members, district administrators, those in state departments of education, parents, and k-12 learners – may yield important findings that speak to the current policy demands to link teacher preparation with student learning.

## Practitioner Research

In Zeichner's (1999) assessment of scholarship in teacher education, he observes "research about teacher education [that] is being conducted by those who actually do the work of teacher education" as "probably the single most significant development ever in the field of teacher education research" (p. 8). This genre, which we label "practitioner research," includes action research, participatory research, self-study, and teacher research. Like interpretive research, it aims to understand human activity *in situ* and from the perspective of participants; however, it differs in two critical ways – the role of the researcher and the overarching purpose for the research. Practitioner research examines practice from the inside; instead of research *on* teacher education *by* an outside party, it is research *by* teacher educators *about* their practice. The knowledge generated through practitioner inquiry is intended primarily to understand and improve practice within a local context. This knowledge may also prove useful beyond local contexts, for ide; in 8eove useful beyond local

critique. While a discussion of these critiques is beyond the scope of this editorial, it is important to note that they are fundamentally tied to questions about what counts as knowledge, evidence, effectiveness, and even research. Despite these questions and critiques, Zeichner's prediction has clearly come to pass: The genre has continued to grow in scope and impact.

## Design Research

Design research is perhaps the most recent genre to be used in the study of teacher education and learning to teach. In the educational arena, design research began as a reaction to traditional psychological experimentation, conducted under carefully controlled laboratory conditions. Committed to addressing questions about what works in practice, design researchers explore learning in context through the systematic design and study of instructional stratsbyie

their reasoning and learning, and the impact of features of the instructional intervention on that reasoning and learning.

The success of design research in educational settings depends on the knowledge and efforts of practitioners as well as researchers. Thus, researchers typically collaborate closely with teachers or teacher educators to develop, enact, and revise an educational intervention. The researchers' ongoing, direct involvement in the setting is essential. They must have a clear view of anticipated learning trajectories, a firm grasp of potential means of support, and a deep understanding of the educational setting. These understandings enable them both to facilitate logistics of the innovation and to conduct regular debriefing sessions in which past events are analyzed and future ones are planned.

Design research is often multileveled. In teacher education, innovations typically involve multiple elements such as the tasks or problems preservice teachers are asked to solve, pedagogical materials that support learning, norms of participation and discourse that are established, and instructional practices that teacher educators use to orchestrate relations among tasks, materials, and participation norms. In addition to these classroom-level elements, innovations may incorporate activities or structures in the teacher education program.

Data collected during design research typically include a comprehensive record of the design process as well as information about the learning processes and outcomes and the means by which learning is organized and supported. This genre features two distinct levels of data analysis, ongoing and retrospective. Ongoing analyses occur during the course of a design experiment and are oriented toward supporting participants' learning through modifying conjectures and refining the intervention. Retrospective analyses occur after the intervention is completed and aim to place the design experiment in a broader theoretical context.

intellectual traditions, different tools of analysis and rules of evidence, different primary audiences, and focus upon problems of different levels or "grain sizes." Each, when done well, has potential to produce knowledge that informs policy and practice. Variations in quality reflect, we believe, the relative newness of the field, the complexity of the teacher education endeavor, the uneven preparation teacher educators receive with regard to research (Wilson, 2006), funding availability, and the working conditions under which most scholars of teacher education, who are themselves practicing teacher educators, labor. Interpretive and practitioner research have been the most dominant modes in the last decade because these genres lend themselves to study the teaching/learning processes, a topic of deep interest to most teacher educators; additionally, studies within these genres often can be conducted by individual scholars and without external funding. Yet, as Zeichner's article in this issue argues, these small-scale studies are difficult to aggregate and are quickly dismissed by those outside the teacher education community. While these genres may be not be well suited to respond to current policy challenges to teacher education, they have built a convincing argument for the complexity of teaching and learning to teach. Researchers in the next decade must do more to tease out that complexity and relate it to broad notions of student learning. Cochran-Smith (2005) characterizes the goal of such a research agenda as building a chain of evidence that links teacher preparation, teacher candidates' learning, their classroom practices, and their pupils' learning. She warns us, "[E]ach of these links is complex and challenging to estimate. When they are combined, the challenges are multiplied" (p. 303).

To address these challenges – to build this chain of evidence – our research must be multidisciplinary and pluralistic in its methods. As Zeichner (2005) writes in the concluding chapter of the report of the AERA Panel on Research on Teacher Education, "Given the

complexity of teacher education and its connections to various aspects of teacher quality and student learning, no single methodological or theoretical approach will be able to provide all that is needed to understand how and why teacher education influences educational outcomes" (p. 743). The field will need to draw upon established genres such as effects of teacher education and interpretive research; to continue to develop and experiment with practitioner and design research genres; and to blur genres in studies using mixed methods.

We must also take advantage of methodological advances to design and conduct more complex studies. New technologies for gathering, recording and storing information make larger data sets available. New statistical techniques and tools enable multi-level analyses of complex data sets. The digital revolution gives researchers the ability to gather and store high-quality audio and video records of teaching and learning activities, and computer software provides new tools to code and analyze textual and video data.

There is also great potential in conducting research that blurs the boundaries between genres. Research that incorporates multiple methods in a single project goes by many names – for example, multiple methods, mixed methods, multiple or mixed approaches, multiple or mixed

Select research genres and methods of inquiry appropriate for the research questions and continue support for multiple genres of research in teacher education. Several sound research genres are available to the teacher education research community, each genre better suited for some questions than others. The researcher's first and most essential role is to pose questions of practical and theoretical significance. Researchers then should evaluate which genre or combination of genres best fits the question(s) and the resources available to conduct a well designed study. That many teacher educators have specialized in research methods most attuned for interpretive and practitioner studies means that as a field, teacher education has less ability to design studies that both speak to policymakers' concerns and reflect teacher educators' deep knowledge about learning to teach. No single genre can address the varied and complex questions we pose about learning to teach. No matter what genre they claim as their area of expertise, researchers can help to ensure the vitality of the field by recognizing the affordances and limitations of each genre and by championing the legitimate contributions each makes to illuminate persistent dilemmas in teacher education.

• Build capacity to conduct collaborative research. To conduct the multi-faceted, large scale studies of teacher education being called for by many policymakers will require collaboration among researchers with different areas of expertise. In addition to the challenges associated with any collaboration, teams conducting mixed-methods research will need to respond to methodological issues such

in teacher education – terrain whose navigation will require listening and negotiating across disciplinary boundaries (Eisenhart & Borko, 1991).

• Demonstrate a strong commitment to rigor in both the conduct and the reporting of research. The value of research hinges on the quality of the design and conduct of each study. As scholars, we must demand that data collection and analysis are carried out with attention to the genre's major assumptions and quality criteria. Further, research methods and findings must be reported in sufficient detail that quality is evident to consumers. The recently released *Standards for Reporting on Empirical Social Science Research in AERA Publications* (AERA, 2006) provide useful guidance.

An emphasis on quality is especially relevant to practitioner and design research, as the field is still developing criteria for rigor that honor the innovative features of these emerging genres. As a contribution to this effort, the featured articles in this issue illuminate the challenges and opportunities of practitioner research. Zeichner's article summarizes recent debates regarding criteria to judge quality of practitioner research; at the same time, he argues that a deliberate effort by self-study researchers to "build upon each other's work conceptually, theoretically, and methodologically," will allow studies in this genre to have more impact policy debates within the field. Loughran examines the tensions that inhere in self-study work between the primary audience for the study, the self who aims to improve practice and candidate's learning, and the larger audience of teacher educators. A second tension he explores is the "continual interplay between research and practice within the practice setting." He advances "trustworthiness," making one's "methods available for scrutiny and critique," and demonstrating how the researcher actively sought to see "beyond the self" as key practices to negotiate these tensions. Jean Clandinin, Debbie Pushor and Anne Orr offer eight elements

comprising a framework for designing and carrying out narrative inquiries; these provide a set of criteria for rigorous inquiry in this domain.

For teacher education research to influence the crafting of wise policy, the improvement of practice, and the development of theory, we must ensure that it draws from multiple disciplines, is pluralistic in its methods, and is rigorously conducted and reported. Similar recommendations recently have been offered by many other scholars (cf. Borko, 2004; Cochran-Smith & Fries, 2005; Shavelson & Towne, 2002; Sleeter, 2001; Whitcomb, 2003; Wilson, Floden, & Ferrini-Mundy, 2001; Zeichner, 2005). Nonetheless, they bear repeating, as they are essential to ensure that practitioners and policy makers turn to research for guidance as they attempt to address the numerous and complex challenges that face teacher education today. And,

- Brophy, J. E. & Good, T.L. (1986). Teacher behavior and student achievement. In M.C. Witrock (Ed.), *Handbook of research on teaching* (3<sup>rd</sup> Ed) (pp. 328-375). New York: Macmillan Publishing Company.
- Brown, A. (1992). Design experiments: Theoretical and methodological challenges in creating complex interventions in classroom settings. *The Journal of the Learning Sciences*, 2(2), 141-178.
- Cobb, P. (2000). Conducting teaching experiments in collaboration with teachers. In A. E. Kelly & R. A. Lesh (Eds.), *Handbook of research design in mathematics and science education* (pp. 307–333). Mahwah, NJ: Lawrence Erlbaum.
- Cobb, P., Confrey, J., diSessa, A., Lehrer, R., & Schauble, L. (2003). Design experiments in educational research. *Educational Researcher*, *32* (1), 9-13.
- Cochran-Smith (2005). Studying teacher education: What we know and need to know. Journal of Teacher Education, 56(4), 301-306.
- Cochran-Smith, M. (2004). Ask a different question, get a different answer: The research base for teacher education. *Journal of Teacher Education*, *55*(2), 111-115.
- Cochran-Smith, M. & Donnell, K. (2006). Practitioner inquiry: blurring the boundaries of research and practice. In J. Green, G. Camilli, & P.B. Elmore (Eds.) Handbook of *Complementary Methods in Education Research* (pp. 503-518) Mahwah, N.J. Lawrence Erlbaum Associates, for the American Educational Research Association.
- Cochran-Smith, M. & Fries, K. (2005). Researching teacher education in changing times:
  Politics and paradigms. In M. Cochran-Smith & K. Zeichner (Eds.). *Studying teacher education: The report of the AERA panel on research and teacher education* (pp. 69-109). Washington, DC: American Educational Research Association.

Cochran-Smith, M. & Lytle, S.L. (2004). Practitioner inquiry, knowledge, and university culture.
 In Loughran, J., Hamilton, M.L., LaBoskey, V.K., & Russell, T. (Eds.) *International Handbook of Self-Study of Teaching and Teacher Education Practices*. (pp 601-649)
 Dordrecht: Kluwer.Cochran-Smith & Lytle, 1993.

- Design-Based Research Collective (2003). Design-based research: an emerging paradigm for educational inquiry. *Educational Researcher*, *32* (1): 5-8.
- Eisenhart, M. & Borko, H. (1991). In search of an interdisciplinary collaborative design for studying teacher education. *Teaching and Teacher Education*, *7*, 137-157.
- Erikson, F. (1986). Qualitative methods in research on teaching. In M.C. Wittrock (Ed.), *Handbook of research on teaching* (3<sup>rd</sup> ed) (pp. 119-61). New York: Macmillan.
- Florio-Ruane, S. (2002). More light: An argument for complexity in studies of teaching and teacher education. *Journal of Teacher Education*, *53*(3), 205-215.
- Hostetler, K. (2005). What is "good" education research? Educational Researcher, 34(6), 16-21.
- Shavelson, R.J., Phillips, D.C., Towne, L., & Fuerer, M.J. (2003). On the science of education design studies. *Educational Researcher*, *32* (1), 25-28.
- Shavelson, R.J. & Towne, L. (Eds.). (2002). Scientific research in education. Committee on Scientific Principles for Education Research. Washington, DC: National Academy Press.
- Shulman, L. (2000). From Minsk to Pinsk: Why a scholarship of teaching and learning? *The Journal of the Scholarship of Teaching and Learning*, *1* (1), 43-53.
- Shulman, L. (1986). Paradigms and research programs in the study of teaching: A contemporary perspective. In M. Wittrock (Ed.). *Handbook of research on teacher education* (3<sup>rd</sup> ed) (pp. 3-36). NY: Macmillan.

Sleeter, C. (2001). Epistemological diversity in research on preservice teacher preparation for